

**GRUNDFOS Operations A/S**  
**August 13, 2019**

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*Dear valued suppliers,*

This information concerns restricted substance compliance.

As a manufacturer of pumps for the global market, we must ensure that the components and materials we source from our suppliers comply with all relevant regulations. To align with Grundfos' guidelines for the responsible sourcing of minerals, and to contribute to reducing the global environmental footprint, we have established unified obligations for all of our suppliers.

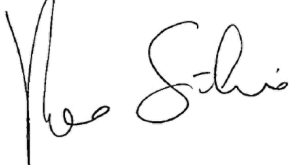
In light of this decision, we have decided to use CDX, an online solution which allows you to provide your compliance data 1:1 on a digital platform. It is a smarter way to secure compliance data of our products and enables us to reply quicker to our customer inquiries. CDX is very similar to the IMDS system, so users of IMDS should quickly become familiar with it.

We formally invite you to register to the CDX system by following the attached guide. You will be given further instructions once your company's registration is complete.

If you have any questions, please don't hesitate to contact us.

Thank you for your cooperation.

Grundfos Operations A/S



Silvio Vanzo

Group Senior VP, Group Purchasing

Grundfos Holding A/S



Katrina Sonne Einhorn

Director, Product Compliance

## **Reporting of conflict minerals**

Reporting of conflict minerals is done through the CDX system by means of the Responsible Minerals Initiative's Conflict Minerals Reporting Template (RMI CMRT). The template can be found on RMI's website:

[www.responsiblemineralsinitiative.org/conflict-minerals-reporting-template/](http://www.responsiblemineralsinitiative.org/conflict-minerals-reporting-template/)

In the CDX system, you will be asked to fill in a questionnaire (CMD Request) concerning your use of conflict minerals. This must be done in the aforementioned RMI CMRT. Should you already have an RMI CMRT available, you can import your declaration into CDX, and the system will fill in the questionnaire for you.

## **Reporting of regulatory requirements**

Reporting of regulatory requirements is done through CDX. You will be asked to fill in a questionnaire (MDS Request) regarding your compliance with regulations such as REACH, RoHS and California Proposition 65.

In order to make it easier to work with these regulations, we have created the *Grundfos Focus List*, which is a compilation of all regulatory requirements you must comply with. You can download the focus list [here](#).

As of August 2019, the focus list contains the following requirements:

- REACH (1907/2006/EC)
  - Declaration and phase-out of SVHC's in concentrations > 0,1 %.\*
  - Compliance with annexes XIV and XVII.
- EU RoHS directives (2011/65/EU and 2015/863/EU)
  - Declaration of used EU RoHS exemptions.
- Resolution MEPC.197(62) (Hong Kong Convention/IMO)
- China RoHS (MIIT Order 32)
- Ozone Depleting Substances Regulations; ODS (1005/2009/EC and 2037/200/EC)
- Fluorinated Greenhouse Gases Regulation; GHG (517/2014/EC)
- Batteries Directives (2006/66/EC and 493/2012/EC)
- Packaging Directives (94/62/EC and 2004/12/EC)
- Persistent Organic Pollutants Regulation; POPs (850/2004/EC)
- California Proposition 65; PROP65 (Safe Drinking Water and Toxic Enforcement Act of 1986)
  - Declaration of PROP65 substances.

*\* The phase-out of SVHC's is a Grundfos-specific initiative. Please note that Lead (CAS: 7439-92-1) is exempted from the phase-out procedure as long as RoHS exemptions for Lead are valid.*

Currently, you're only required to comply with these legislations and declare substances and exemptions if relevant. However, in the future, we will also ask for material declarations on a substance level. If you have experience supplying material declarations, feel free to supply this information in CDX.